

1 John V. Picone III, Bar No. 187226
jpicone@hopkinscarley.com
2 Jeffrey M. Ratinoff, Bar No. 197241
jratinoff@hopkinscarley.com
3 Cary Chien, Bar No. 274078
cchien@hopkinscarley.com
4 HOPKINS & CARLEY
A Law Corporation
5 The Letitia Building
70 South First Street
6 San Jose, CA 95113-2406

7 ***mailing address:***

P.O. Box 1469
8 San Jose, CA 95109-1469
Telephone: (408) 286-9800
9 Facsimile: (408) 998-4790

10 Attorneys for Plaintiff
NEO4J, INC.

11 John D. Pernick (Bar No. 155468)
jpernick@be-law.com
12 BERGESON, LLP
13 111 North Market Street, Suite 600
San Jose, CA 95113
14 Telephone: (408) 291-6200
Facsimile: (408) 297-6000

15 Attorneys for Defendant
16 GRAPH FOUNDATION, INC.

17 UNITED STATES DISTRICT COURT

18 NORTHERN DISTRICT OF CALIFORNIA

19 NEO4J, INC., a Delaware corporation,

20 Plaintiff,

21 v.

22 GRAPH FOUNDATION, INC., an Ohio
corporation,

23 Defendant.
24

CASE NO. 5:19-cv-06226-EJD

STIPULATED PROTECTIVE ORDER

Action Filed: October 1, 2019

Trial Date: None

1 PURPOSES AND LIMITATIONS

2 Disclosure and discovery activity in this action are likely to involve production of
3 confidential, proprietary, or private information for which special protection from public
4 disclosure and from use for any purpose other than prosecuting this litigation may be warranted.
5 Accordingly, the parties hereby stipulate to and petition the court to enter the following Stipulated
6 Protective Order. The parties acknowledge that this Order does not confer blanket protections on
7 all disclosures or responses to discovery and that the protection it affords from public disclosure
8 and use extends only to the limited information or items that are entitled to confidential treatment
9 under the applicable legal principles. The parties further acknowledge, as set forth in Section
10 13.3, below, that this Stipulated Protective Order does not entitle them to file confidential
11 information under seal; Civil Local Rule 79-5 sets forth the procedures that must be followed and
12 the standards that will be applied when a party seeks permission from the court to file material
13 under seal.

14 DEFINITIONS

15 2.1 Challenging Party: a Party or Non-Party that challenges the designation of
16 information or items under this Order.

17 2.2 “CONFIDENTIAL” Information or Items: information (regardless of how it is
18 generated, stored or maintained) or tangible things that qualify for protection under Federal Rule
19 of Civil Procedure 26(c), and/or is not publicly known and is of technical or commercial
20 advantage to its possessor, including trade secret, financial, proprietary, competitive, or
21 commercially sensitive information, or other information required by law or agreement to be kept
22 confidential.

23 2.3 Counsel (without qualifier): Outside Counsel of Record and House Counsel (as
24 well as their support staff).

25 2.4 Designated In-House Personnel: employees of a Party who seek access to
26 “CONFIDENTIAL” information in this matter.

27 ///

28 ///

2.5 Designating Party: a Party or Non-Party that designates information or items that it produces in disclosures or in responses to discovery as “CONFIDENTIAL,” “HIGHLY CONFIDENTIAL – ATTORNEYS’ EYES ONLY,” or “HIGHLY CONFIDENTIAL – SOURCE CODE”.

2.6 Disclosure or Discovery Material: all items or information, regardless of the medium or manner in which it is generated, stored, or maintained (including, among other things, testimony, transcripts, and tangible things), that are produced or generated in disclosures or responses to discovery in this matter.

2.7 Expert: a person with specialized knowledge or experience in a matter pertinent to the litigation who (1) has been retained by a Party or its counsel to serve as an expert witness or as a consultant in this action, (2) is not a past or current employee of a Party or of a Party’s competitor, and (3) at the time of retention, is not anticipated to become an employee of a Party or of a Party’s competitor.

2.8 “HIGHLY CONFIDENTIAL – ATTORNEYS’ EYES ONLY” Information or Items: extremely sensitive “Confidential Information or Items,” disclosure of which to another Party or Non-Party would create a substantial risk of serious harm that could not be avoided by less restrictive means, which includes, but is not limited to: (a) highly confidential research and development, financial, technical, marketing, any other highly sensitive trade secret information, or information capable of being utilized for the preparation or prosecution of a patent application dealing with such subject matter; (b) highly commercially sensitive competitive information, including, without limitation, information obtained from a non-party pursuant to a current Nondisclosure Agreement (“NDA”); (c) information or data relating to future products not yet commercially released or strategic plans; and (d) commercial agreements, settlement agreements or settlement communications, the disclosure of which is likely to cause harm to the competitive position of the producing party.

2.9 “HIGHLY CONFIDENTIAL – SOURCE CODE” Information or Items: extremely sensitive “Confidential Information or Items” representing computer code and associated comments and revision histories, formulas, engineering specifications, or schematics

1 that define or otherwise describe in detail the algorithms or structure of software or hardware
 2 designs, disclosure of which to another Party or Non-Party would create a substantial risk of
 3 serious harm that could not be avoided by less restrictive means.

4 2.10 House Counsel: attorneys who are employees of a party to this action. House
 5 Counsel does not include Outside Counsel of Record or any other outside counsel.

6 2.11 Non-Party: any natural person, partnership, corporation, association, or other legal
 7 entity not named as a Party to this action.

8 2.12 Outside Counsel of Record: attorneys who are not employees of a party to this
 9 action but are retained to represent or advise a party to this action and have appeared in this action
 10 on behalf of that party or are affiliated with a law firm which has appeared on behalf of that party.

11 2.13 Party: any party to this action, including all of its officers, directors, employees,
 12 consultants, retained experts, and Outside Counsel of Record (and their support staffs).

13 2.14 Producing Party: a Party or Non-Party that produces Disclosure or Discovery
 14 Material in this action.

15 2.15 Professional Vendors: persons or entities that provide litigation support services
 16 (e.g., photocopying, videotaping, translating, preparing exhibits or demonstrations, and
 17 organizing, storing, or retrieving data in any form or medium) and their employees and
 18 subcontractors.

19 2.16 Protected Material: any Disclosure or Discovery Material that is designated as
 20 “CONFIDENTIAL,” “HIGHLY CONFIDENTIAL – ATTORNEYS’ EYES ONLY” or as
 21 “HIGHLY CONFIDENTIAL – SOURCE CODE.”

22 2.17 Receiving Party: a Party that receives Disclosure or Discovery Material from a
 23 Producing Party.

24 3. SCOPE

25 The protections conferred by this Stipulation and Order cover not only Protected Material
 26 (as defined above), but also (1) any information copied or extracted from Protected Material; (2)
 27 all copies, excerpts, summaries, or compilations of Protected Material; and (3) any testimony,
 28 conversations, or presentations by Parties or their Counsel that might reveal Protected Material.

1 However, the protections conferred by this Stipulation and Order do not cover the following
 2 information: (a) any information that is in the public domain at the time of disclosure to a
 3 Receiving Party or becomes part of the public domain after its disclosure to a Receiving Party as
 4 a result of publication not involving a violation of this Order, including becoming part of the
 5 public record through trial or otherwise; and (b) any information known to the Receiving Party
 6 prior to the disclosure or obtained by the Receiving Party after the disclosure from a source who
 7 obtained the information lawfully and under no obligation of confidentiality to the Designating
 8 Party. Any use of Protected Material at trial shall be governed by a separate agreement or order.

9 4. DURATION

10 Even after final disposition of this litigation, the confidentiality obligations imposed by
 11 this Order shall remain in effect until a Designating Party agrees otherwise in writing or a court
 12 order otherwise directs. Final disposition shall be deemed to be the later of (1) dismissal of all
 13 claims and defenses in this action, with or without prejudice; and (2) final judgment herein after
 14 the completion and exhaustion of all appeals, rehearings, remands, trials, or reviews of this action,
 15 including the time limits for filing any motions or applications for extension of time pursuant to
 16 applicable law.

17 5. DESIGNATING PROTECTED MATERIAL

18 5.1 Exercise of Restraint and Care in Designating Material for Protection. Each Party
 19 or Non-Party that designates information or items for protection under this Order must take care
 20 to limit any such designation to specific material that qualifies under the appropriate standards.
 21 To the extent it is practical to do so, the Designating Party must designate for protection only
 22 those parts of material, documents, items, or oral or written communications that qualify – so that
 23 other portions of the material, documents, items, or communications for which protection is not
 24 warranted are not swept unjustifiably within the ambit of this Order.

25 Mass, indiscriminate, or routinized designations are prohibited. Designations that are
 26 shown to be clearly unjustified or that have been made for an improper purpose (e.g., to
 27 unnecessarily encumber or retard the case development process or to impose unnecessary
 28 expenses and burdens on other parties) expose the Designating Party to sanctions.

1 If it comes to a Designating Party's attention that information or items that it designated
 2 for protection do not qualify for protection at all or do not qualify for the level of protection
 3 initially asserted, that Designating Party must promptly notify all other parties that it is
 4 withdrawing the mistaken designation.

5 5.2 Manner and Timing of Designations. Except as otherwise provided in this Order
 6 (see, e.g., second paragraph of Section 5.2(a) below), or as otherwise stipulated or ordered,
 7 Disclosure or Discovery

8 Material that qualifies for protection under this Order must be clearly so designated before
 9 the material is disclosed or produced.

10 Designation in conformity with this Order requires:

11 (a) for information in documentary form (e.g., paper or electronic documents, but
 12 excluding transcripts of depositions or other pretrial or trial proceedings), that the Producing
 13 Party affix the legend "CONFIDENTIAL," "HIGHLY CONFIDENTIAL – ATTORNEYS'
 14 EYES ONLY," or "HIGHLY CONFIDENTIAL – SOURCE CODE" to each page that contains
 15 protected material. If only a portion or portions of the material on a page qualifies for protection,
 16 the Producing Party also must clearly identify the protected portion(s) (e.g., by making
 17 appropriate markings in the margins) and must specify, for each portion, the level of protection
 18 being asserted.

19 A Party or Non-Party that makes original documents or materials available for inspection
 20 need not designate them for protection until after the inspecting Party has indicated which
 21 material it would like copied and produced. During the inspection and before the designation, all
 22 of the material made available for inspection shall be deemed "HIGHLY CONFIDENTIAL –
 23 ATTORNEYS' EYES ONLY." After the inspecting Party has identified the documents it wants
 24 copied and produced, the Producing Party must determine which documents, or portions thereof,
 25 qualify for protection under this Order. Then, before producing the specified documents, the
 26 Producing Party must affix the appropriate legend ("CONFIDENTIAL," "HIGHLY
 27 CONFIDENTIAL – ATTORNEYS' EYES ONLY," or "HIGHLY CONFIDENTIAL – SOURCE
 28 CODE") to each page that contains Protected Material. If only a portion or portions of the

1 material on a page qualifies for protection, the Producing Party also must clearly identify the
 2 protected portion(s) (e.g., by making appropriate markings in the margins) and must specify, for
 3 each portion, the level of protection being asserted.

4 (b) for testimony given in deposition or in other pretrial or trial proceedings, that the
 5 Designating Party identify on the record, before the close of the deposition, hearing, or other
 6 proceeding, all protected testimony and specify the level of protection being asserted. When it is
 7 impractical to identify separately each portion of testimony that is entitled to protection and it
 8 appears that substantial portions of the testimony may qualify for protection, the Designating
 9 Party may invoke on the record (before the deposition, hearing, or other proceeding is concluded)
 10 a right to have up to 21 days after receipt of the transcript to identify the specific portions of the
 11 testimony as to which protection is sought and to specify the level of protection being asserted.
 12 Only those portions of the testimony that are appropriately designated for protection within the 21
 13 days shall be covered by the provisions of this Stipulated Protective Order. Alternatively, a
 14 Designating Party may specify, at the deposition or up to 21 days afterwards if that period is
 15 properly invoked, that the entire transcript shall be treated as “CONFIDENTIAL” or “HIGHLY
 16 CONFIDENTIAL – ATTORNEYS’ EYES ONLY.”

17 Parties shall give the other parties notice if they reasonably expect a deposition, hearing or
 18 other proceeding to include Protected Material so that the other parties can ensure that only
 19 authorized individuals who have signed the “Acknowledgment and Agreement to Be Bound”
 20 (Exhibit A) are present at those proceedings. The use of a document as an exhibit at a deposition
 21 shall not in any way affect its designation as “CONFIDENTIAL” or “HIGHLY
 22 CONFIDENTIAL – ATTORNEYS’ EYES ONLY.”

23 Transcripts containing Protected Material shall have an obvious legend on the title page
 24 that the transcript contains Protected Material, and the title page shall be followed by a list of all
 25 pages (including line numbers as appropriate) that have been designated as Protected Material and
 26 the level of protection being asserted by the Designating Party. The Designating Party shall
 27 inform the court reporter of these requirements. Any transcript that is prepared before the
 28 expiration of a 21-day period for designation shall be treated during that period as if it had been

1 designated “HIGHLY CONFIDENTIAL – ATTORNEYS’ EYES ONLY” in its entirety unless
 2 otherwise agreed. After the expiration of that period, the transcript shall be treated only as
 3 actually designated.

4 (c) for information produced in some form other than documentary and for any other
 5 tangible items, that the Producing Party affix in a prominent place on the exterior of the container
 6 or containers in which the information or item is stored the legend “CONFIDENTIAL,”
 7 “HIGHLY CONFIDENTIAL – ATTORNEYS’ EYES ONLY,” or “HIGHLY CONFIDENTIAL
 8 – SOURCE CODE.” If only a portion or portions of the information or item warrant protection,
 9 the Producing Party, to the extent practicable, shall identify the protected portion(s) and specify
 10 the level of protection being asserted.

11 5.3 Inadvertent Failures to Designate. If timely corrected, an inadvertent failure to
 12 designate qualified information or items does not, standing alone, waive the Designating Party’s
 13 right to secure protection under this Order for such material. Upon timely correction of a
 14 designation, the Receiving Party must make reasonable efforts to assure that the material is
 15 treated in accordance with the provisions of this Order.

16 6. CHALLENGING CONFIDENTIALITY DESIGNATIONS

17 6.1 Timing of Challenges. Any Party or Non-Party may challenge a designation of
 18 confidentiality at any time. Unless a prompt challenge to a Designating Party’s confidentiality
 19 designation is necessary to avoid foreseeable, substantial unfairness, unnecessary economic
 20 burdens, or a significant disruption or delay of the litigation, a Party does not waive its right to
 21 challenge a confidentiality designation by electing not to mount a challenge promptly after the
 22 original designation is disclosed.

23 6.2 Meet and Confer. The Challenging Party shall initiate the dispute resolution
 24 process by providing written notice of each designation it is challenging and describing the basis
 25 for each challenge. To avoid ambiguity as to whether a challenge has been made, the written
 26 notice must recite that the challenge to confidentiality is being made in accordance with this
 27 specific paragraph of the Protective Order. The parties shall attempt to resolve each challenge in
 28 good faith and must begin the process by conferring directly (in voice to voice dialogue; other

forms of communication are not sufficient) within 14 days of the date of service of notice. In conferring, the Challenging Party must explain the basis for its belief that the confidentiality designation was not proper and must give the Designating Party an opportunity to review the designated material, to reconsider the circumstances, and, if no change in designation is offered, to explain the basis for the chosen designation. A Challenging Party may proceed to the next stage of the challenge process only if it has engaged in this meet and confer process first or establishes that the Designating Party is unwilling to participate in the meet and confer process in a timely manner.

6.3 Judicial Intervention. If the Parties cannot resolve a challenge without court intervention, the Designating Party shall file and serve a motion to retain confidentiality under Civil Local Rule 7 (and in compliance with Civil Local Rule 79-5, if applicable) within 21 days of the initial notice of challenge or within 14 days of the parties agreeing that the meet and confer process will not resolve their dispute, whichever is earlier. Each such motion must be accompanied by a competent declaration affirming that the movant has complied with the meet and confer requirements imposed in the preceding paragraph. Failure by the Designating Party to make such a motion including the required declaration within 21 days (or 14 days, if applicable) shall automatically waive the confidentiality designation for each challenged designation. In addition, the Challenging Party may file a motion challenging a confidentiality designation at any time if there is good cause for doing so, including a challenge to the designation of a deposition transcript or any portions thereof. Any motion brought pursuant to this provision must be accompanied by a competent declaration affirming that the movant has complied with the meet and confer requirements imposed by the preceding paragraph.

The burden of persuasion in any such challenge proceeding shall be on the Designating Party. Frivolous challenges and those made for an improper purpose (e.g., to harass or impose unnecessary expenses and burdens on other parties) may expose the Challenging Party to sanctions. Unless the Designating Party has waived the confidentiality designation by failing to

///

///

1 file a motion to retain confidentiality as described above, all parties shall continue to afford the
 2 material in question the level of protection to which it is entitled under the Producing Party's
 3 designation until the court rules on the challenge.

4 7. ACCESS TO AND USE OF PROTECTED MATERIAL

5 7.1 Basic Principles. A Receiving Party may use Protected Material that is disclosed or
 6 produced by another Party or by a Non-Party in connection with this case only for prosecuting,
 7 defending, or attempting to settle this litigation. Such Protected Material may be disclosed only to
 8 the categories of persons and under the conditions described in this Order. When the litigation has
 9 been terminated, a Receiving Party must comply with the provisions of Section 14 below (FINAL
 10 DISPOSITION).

11 Protected Material must be stored and maintained by a Receiving Party at a location and
 12 in a secure manner that ensures that access is limited to the persons authorized under this Order.

13 7.2 Disclosure of "CONFIDENTIAL" Information or Items. Unless otherwise ordered
 14 by the Court or permitted in writing by the Designating Party, a Receiving Party may disclose any
 15 information or item designated "CONFIDENTIAL" only to:

16 (a) the Receiving Party's Outside Counsel of Record in this action, as well as employees
 17 of said Outside Counsel of Record to whom it is reasonably necessary to disclose the information
 18 for this litigation and who have signed the "Acknowledgment and Agreement to Be Bound" that
 19 is attached hereto as Exhibit A;

20 (b) the officers, directors, or employees (including House Counsel) of the Receiving Party
 21 to whom disclosure is necessary for this litigation and who have signed the "Acknowledgment
 22 and Agreement to Be Bound" (Exhibit A);

23 (c) Experts (as defined in this Order) of the Receiving Party to whom disclosure is
 24 reasonably necessary for this litigation and who have signed the "Acknowledgment and
 25 Agreement to Be Bound" (Exhibit A);

26 (d) the court and its personnel;

27 ///

28 ///

(e) court reporters and their staff, professional jury or trial consultants, and Professional Vendors to whom disclosure is reasonably necessary for this litigation and who have signed the “Acknowledgment and Agreement to Be Bound” (Exhibit A);

(f) during their depositions, witnesses in the action to whom disclosure is reasonably necessary and who have signed the “Acknowledgment and Agreement to Be Bound” (Exhibit A), unless otherwise agreed by the Designating Party or ordered by the court. Pages of transcribed deposition testimony or exhibits to depositions that reveal Protected Material must be separately bound by the court reporter and may not be disclosed to anyone except as permitted under this Stipulated Protective Order.

(g) the author or recipient of a document containing the information or a custodian or other person who otherwise possessed or knew the information.

7.3 Disclosure of “HIGHLY CONFIDENTIAL – ATTORNEYS’ EYES ONLY” and “HIGHLY CONFIDENTIAL – SOURCE CODE” Information or Items. Unless otherwise ordered by the Court or permitted in writing by the Designating Party, a Receiving Party may disclose any information or item designated “HIGHLY CONFIDENTIAL – ATTORNEYS’ EYES ONLY” or “HIGHLY CONFIDENTIAL – SOURCE CODE” only to:

(a) the Receiving Party’s Outside Counsel of Record in this action, as well as employees of said Outside Counsel of Record to whom it is reasonably necessary to disclose the information for this litigation and who have signed the “Acknowledgment and Agreement to Be Bound” that is attached hereto as Exhibit A;

(b) Designated House Counsel of the Receiving Party: (1) who has no involvement in competitive decision-making, (2) to whom disclosure is necessary for this litigation, (3) who has signed the “Acknowledgment and Agreement to Be Bound” (Exhibit A), and (4) as to whom the procedures set forth in paragraph 7.4(a)(1), below, have been followed.

(c) Experts of the Receiving Party (1) to whom disclosure is reasonably necessary for this litigation, (2) who have signed the “Acknowledgment and Agreement to Be Bound” (Exhibit A), and (3) as to whom the procedures set forth in Section 7.4, below, have been followed;

(d) the Court and its personnel;

(e) court reporters and their staff, professional jury or trial consultants, and Professional Vendors to whom disclosure is reasonably necessary for this litigation and who have signed the “Acknowledgment and Agreement to Be Bound” (Exhibit A); and

(f) the author or recipient of a document containing the information or a custodian or other person who otherwise possessed or knew the information.

7.4 Procedures for Approving or Objecting to Disclosure of “HIGHLY CONFIDENTIAL – ATTORNEYS’ EYES ONLY” or “HIGHLY CONFIDENTIAL – SOURCE CODE” Information or Items to Designated House Counsel or Experts.

(a)(1) Unless otherwise ordered by the court or agreed to in writing by the Designating Party, a Party that seeks to disclose to Designated House Counsel any information or item that has been designated “HIGHLY CONFIDENTIAL – ATTORNEYS’ EYES ONLY” or “HIGHLY CONFIDENTIAL – SOURCE CODE” pursuant to paragraph 7.3(b) first must make a written request to the Designating Party that (1) sets forth the full name of the Designated House Counsel and the city and state of his or her residence, and (2) describes the Designated House Counsel’s current and reasonably foreseeable future primary job duties and responsibilities in sufficient detail to determine if House Counsel is involved, or may become involved, in any competitive decision-making.

(a)(2) Unless otherwise ordered by the court or agreed to in writing by the Designating Party, a Party that seeks to disclose to an Expert (as defined in this Order) any information or item that has been designated “HIGHLY CONFIDENTIAL – ATTORNEYS’ EYES ONLY” or “HIGHLY CONFIDENTIAL – SOURCE CODE” pursuant to Section 7.3(c) first must make a written request to the Designating Party that (1) sets forth the full name of the Expert and the city and state of his or her primary residence, (2) attaches a copy of the Expert’s current resume, (3) identifies the Expert’s current employer(s), (4) identifies each person or entity from whom the Expert has received compensation or funding for work in his or her areas of expertise or to whom the expert has provided professional services, including in connection with a litigation, at any time during the preceding five years, and (5) identifies (by name and number of the case, filing date, and location of court) any litigation in connection with which the Expert has offered expert

1 testimony, including through a declaration, report, or testimony at a deposition or trial, during the
2 preceding five years.

3 (b) A Party that makes a request and provides the information specified in the preceding
4 respective paragraphs may disclose the subject Protected Material to the identified Designated
5 House Counsel or Expert unless, within 14 days of delivering the request, the Party receives a
6 written objection from the Designating Party. Any such objection must set forth in detail the
7 grounds on which it is based.

8 (c) A Party that receives a timely written objection must meet and confer with the
9 Designating Party (through direct voice to voice dialogue) to try to resolve the matter by
10 agreement within seven days of the written objection. If no agreement is reached, the Party
11 seeking to make the disclosure to Designated House Counsel or the Expert may file a motion as
12 provided in Civil Local Rule 7 (and in compliance with Civil Local Rule 79-5, if applicable)
13 seeking permission from the court to do so. Any such motion must describe the circumstances
14 with specificity, set forth in detail the reasons why the disclosure to Designated House Counsel or
15 the Expert is reasonably necessary, assess the risk of harm that the disclosure would entail, and
16 suggest any additional means that could be used to reduce that risk. In addition, any such motion
17 must be accompanied by a competent declaration describing the parties' efforts to resolve the
18 matter by agreement (i.e., the extent and the content of the meet and confer discussions) and
19 setting forth the reasons advanced by the Designating Party for its refusal to approve the
20 disclosure.

21 In any such proceeding, the Party opposing disclosure to Designated House Counsel or the
22 Expert shall bear the burden of proving that the risk of harm that the disclosure would entail
23 (under the safeguards proposed) outweighs the Receiving Party's need to disclose the Protected
24 Material to its Designated House Counsel or Expert.

25 8. SOURCE CODE

26 (a) To the extent production of source code becomes necessary in this case, a
27 Producing Party may designate source code as "HIGHLY CONFIDENTIAL - SOURCE CODE"
28 if it comprises or includes confidential, proprietary or trade secret source code.

1 (b) Protected Material designated as “HIGHLY CONFIDENTIAL – SOURCE
2 CODE” shall be subject to all of the protections afforded to “HIGHLY CONFIDENTIAL –
3 ATTORNEYS’ EYES ONLY” information, and may be disclosed only to the individuals to
4 whom “HIGHLY CONFIDENTIAL – ATTORNEYS’ EYES ONLY” information may be
5 disclosed, as set forth in Paragraphs 7.3 and 7.4, with the exception of Designated House
6 Counsel.

7 (c) Any source code produced in discovery shall be made available for inspection, in a
8 format allowing it to be reasonably reviewed and searched, during normal business hours or at
9 other mutually agreeable times, at an office of the Producing Party’s counsel or another mutually
10 agreed upon location. The source code shall be made available for inspection on a secured
11 computer in a secured room without Internet access or network access to other computers, and the
12 Receiving Party shall not copy, remove, or otherwise transfer any portion of the source code onto
13 any recordable media or recordable device. The Producing Party may visually monitor the
14 activities of the Receiving Party’s representatives during any source code review, but only to
15 ensure that there is no unauthorized recording, copying, or transmission of the source code.

16 (d) The Receiving Party may request paper copies of limited portions of source code
17 that are reasonably necessary for the preparation of court filings, pleadings, expert reports, or
18 other papers, or for deposition or trial, but shall not request paper copies for the purposes of
19 reviewing the source code other than electronically as set forth in paragraph (c) in the first
20 instance. The Producing Party shall provide all such source code in paper form including bates
21 numbers and the label “HIGHLY CONFIDENTIAL - SOURCE CODE.” The Producing Party
22 may challenge the amount of source code requested in hard copy form pursuant to the dispute
23 resolution procedure and timeframes set forth in Paragraph 6 whereby the Producing Party is the
24 “Challenging Party” and the Receiving Party is the “Designating Party” for purposes of dispute
25 resolution.

26 (e) The Receiving Party shall maintain a record of any individual who has inspected
27 any portion of the source code in electronic or paper form. The Receiving Party shall maintain all
28 paper copies of any printed portions of the source code in a secured, locked area. The Receiving

1 Party shall not create any electronic or other images of the paper copies and shall not convert any
 2 of the information contained in the paper copies into any electronic format. The Receiving Party
 3 shall only make additional paper copies if such additional copies are (1) necessary to prepare
 4 court filings, pleadings, or other papers (including a testifying expert's expert report), (2)
 5 necessary for deposition, or (3) otherwise necessary for the preparation of its case. Any paper
 6 copies used during a deposition shall be retrieved by the Producing Party at the end of each day
 7 and must not be given to or left with a court reporter or any other unauthorized individual.

8 9. PROTECTED MATERIAL SUBPOENAED OR ORDERED PRODUCED IN OTHER
 9 LITIGATION

10 If a Party is served with a subpoena or a court order issued in other litigation that compels
 11 disclosure of any information or items designated in this action as "CONFIDENTIAL,"
 12 "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY," or "HIGHLY CONFIDENTIAL
 13 – SOURCE CODE" that Party must:

14 (a) promptly notify in writing the Designating Party. Such notification shall include a
 15 copy of the subpoena or court order;

16 (b) promptly notify in writing the party who caused the subpoena or order to issue in the
 17 other litigation that some or all of the material covered by the subpoena or order is subject to this
 18 Protective Order. Such notification shall include a copy of this Stipulated Protective Order; and

19 (c) cooperate with respect to all reasonable procedures sought to be pursued by the
 20 Designating Party whose Protected Material may be affected.

21 If the Designating Party timely seeks a protective order, the Party served with the
 22 subpoena or court order shall not produce any information designated in this action as
 23 "CONFIDENTIAL," "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY" or
 24 "HIGHLY CONFIDENTIAL – SOURCE CODE" before a determination by the court from
 25 which the subpoena or order issued, unless the Party has obtained the Designating Party's
 26 permission. The Designating Party shall bear the burden and expense of seeking protection in that

27 ///

28 ///

1 court of its confidential material – and nothing in these provisions should be construed as
 2 authorizing or encouraging a Receiving Party in this action to disobey a lawful directive from
 3 another court.

4 10. A NON-PARTY’S PROTECTED MATERIAL SOUGHT TO BE PRODUCED IN THIS
 5 LITIGATION

6 (a) The terms of this Order are applicable to information produced by a Non-Party in
 7 this action and designated as “CONFIDENTIAL,” “HIGHLY CONFIDENTIAL –
 8 ATTORNEYS’ EYES ONLY” or “HIGHLY CONFIDENTIAL – SOURCE CODE.” Such
 9 information produced by Non-Parties in connection with this litigation is protected by the
 10 remedies and relief provided by this Order. Nothing in these provisions should be construed as
 11 prohibiting a Non-Party from seeking additional protections.

12 (b) In the event that a Party is required, by a valid discovery request, to produce a
 13 Non-Party’s confidential information in its possession, and the Party is subject to an agreement
 14 with the Non-Party not to produce the Non-Party’s confidential information, then the Party shall:

- 15 1. promptly notify in writing the Requesting Party and the Non-Party that
 16 some or all of the information requested is subject to a confidentiality agreement with a Non-
 17 Party;
- 18 2. promptly provide the Non-Party with a copy of the Stipulated Protective
 19 Order in this litigation, the relevant discovery request(s), and a reasonably specific description of
 20 the information requested; and
- 21 3. make the information requested available for inspection by the Non-Party.

22 (c) If the Non-Party fails to object or seek a protective order from this court within 14
 23 days of receiving the notice and accompanying information, the Receiving Party may produce the
 24 Non-Party’s confidential information responsive to the discovery request. If the Non-Party timely
 25 seeks a protective order, the Receiving Party shall not produce any information in its possession
 26 or control that is subject to the confidentiality agreement with the Non-Party before a
 27 determination by the court. Absent a court order to the contrary, the Non-Party shall bear the
 28 burden and expense of seeking protection in this court of its Protected Material.

11. UNAUTHORIZED DISCLOSURE OF PROTECTED MATERIAL

If a Receiving Party learns that, by inadvertence or otherwise, it has disclosed Protected Material to any person or in any circumstance not authorized under this Stipulated Protective Order, the Receiving Party must immediately (a) notify in writing the Designating Party of the unauthorized disclosures, (b) use its best efforts to retrieve all unauthorized copies of the Protected Material, (c) inform the person or persons to whom unauthorized disclosures were made of all the terms of this Order, and (d) request such person or persons to execute the “Acknowledgment and Agreement to Be Bound” that is attached hereto as Exhibit A.

12. INADVERTENT PRODUCTION OF PRIVILEGED OR OTHERWISE PROTECTED MATERIAL

If information subject to a claim of attorney-client privilege, work product immunity, or other privilege, doctrine, right, or immunity is inadvertently or unintentionally produced, such production shall in no way prejudice or otherwise constitute a waiver or estoppel as to any such privilege, doctrine, right or immunity. When a Producing Party gives notice to Receiving Parties that certain inadvertently produced material is subject to a claim of privilege or other protection, the obligations of the Receiving Parties are those set forth in Federal Rule of Civil Procedure 26(b)(5)(B). This provision is not intended to modify whatever procedure may be established in an e-discovery order that provides for production without prior privilege review. Pursuant to Federal Rule of Evidence 502(d) and (e), insofar as the parties reach an agreement on the effect of disclosure of a communication or information covered by the attorney-client privilege or work product protection, the parties may incorporate their agreement in the stipulated protective order submitted to the court.

13. MISCELLANEOUS

13.1 Right to Further Relief. Nothing in this Order abridges the right of any person to seek its modification by the court in the future.

13.2 Right to Assert Other Objections. By stipulating to the entry of this Protective Order no Party waives any right it otherwise would have to object to disclosing or producing any information or item on any ground not addressed in this Stipulated Protective Order. Similarly, no

1 Party waives any right to object on any ground to use in evidence of any of the material covered
2 by this Protective Order.

3 13.3 Filing Protected Material. Without written permission from the Party or a non-
4 party whose confidentiality interest is being protected by the designation of Disclosure or
5 Discovery Material as Protected Material or a court order secured after appropriate notice to all
6 interested persons, a Party may not file in the public record in this action any Protected Material.
7 If a Party wishes to submit any Protected Material to the Court in this action that has been
8 designated as “CONFIDENTIAL,” “HIGHLY CONFIDENTIAL – ATTORNEYS’ EYES
9 ONLY” or “HIGHLY CONFIDENTIAL – SOURCE CODE, the Party shall comply with Civil
10 Local Rule 79-5. Protected Material may only be filed under seal pursuant to a court order
11 authorizing the sealing of the specific Protected Material at issue. Pursuant to Civil Local Rule
12 79-5, a sealing order will issue only upon a request establishing that the Protected Material at
13 issue is privileged, protectable as a trade secret, or otherwise entitled to protection under the law.
14 If a Receiving Party's request to file Protected Material under seal pursuant to Civil Local Rule
15 79-5(e) is denied by the court, then the Receiving Party may file the Protected Material in the
16 public record pursuant to Civil Local Rule 79-5(e)(2) unless otherwise instructed by the court.

17 14. FINAL DISPOSITION

18 Within 60 days after the final disposition of this action, as defined in Section 4, each
19 Receiving Party must return all Protected Material to the Producing Party or destroy such
20 material. As used in this subdivision, “all Protected Material” includes all copies, abstracts,
21 compilations, summaries, and any other format reproducing or capturing any of the Protected
22 Material. Whether the Protected Material is returned or destroyed, the Receiving Party must
23 submit a written certification to the Producing Party (and, if not the same person or entity, to the
24 Designating Party) by the 60-day deadline that (1) identifies (by category, where appropriate) all
25 the Protected Material that was returned or destroyed and (2) affirms that the Receiving Party has
26 not retained any copies, abstracts, compilations, summaries or any other format reproducing or
27 capturing any of the Protected Material. Notwithstanding this provision, Counsel are entitled to
28 retain an archival copy of all pleadings, motion papers, trial, deposition, and hearing transcripts,

1 legal memoranda, correspondence, deposition and trial exhibits, expert reports, attorney work
2 product, and consultant and expert work product, even if such materials contain Protected
3 Material. Any such archival
4 copies that contain or constitute Protected Material remain subject to this Protective Order as set
5 forth in Section 4 (DURATION).

6 IT IS SO STIPULATED, THROUGH COUNSEL OF RECORD.

7 Dated: March 30, 2020

HOPKINS & CARLEY
A Law Corporation

9 By: /s/ Jeffrey M. Ratinoff
Jeffrey M. Ratinoff
10 Attorneys for Plaintiff NEO4J, INC.

11 Dated: March 30, 2020

BERGESON, LLP

13 By: /s/ John D. Pernick
John D. Pernick
14 Attorneys for Defendant
15 GRAPH FOUNDATION, INC.

16 PURSUANT TO STIPULATION, IT IS SO ORDERED.

17
18
19 DATED: _____

Hon. Edward J. Davila
United States District Court Judge

EXHIBIT A

ACKNOWLEDGMENT AND AGREEMENT TO BE BOUND

I, _____ [print or type full name], of _____
[print or type full address], declare under penalty of perjury that I have read in its entirety and
understand the Stipulated Protective Order that was issued by the United States District Court for
the Northern District of California on [date] in the case of NEO4J, INC. v. GRAPH
FOUNDATION, INC., CASE NO. 5:19-cv-06226-EJD. I agree to comply with and to be bound
by all the terms of this Stipulated Protective Order and I understand and acknowledge that failure
to so comply could expose me to sanctions and punishment in the nature of contempt. I solemnly
promise that I will not disclose in any manner any information or item that is subject to this
Stipulated Protective Order to any person or entity except in strict compliance with the provisions
of this Order.

I further agree to submit to the jurisdiction of the United States District Court for the
Northern District of California for the purpose of enforcing the terms of this Stipulated Protective
Order, even if such enforcement proceedings occur after termination of this action.

I hereby appoint _____ [print or type full name] of
_____ [print or type full address and telephone
number] as my California agent for service of process in connection with this action or any
proceedings related to enforcement of this Stipulated Protective Order.

Date: _____

City and State where sworn and signed: _____

Printed name: _____
[printed name]

Signature: _____
[signature]

FILER'S ATTESTATION

I, Jeffrey M. Ratinoff, am the ECF user whose credentials were utilized in the electronic filing of this document. In accordance with N.D. Cal. Civil Local Rule 5-1(i)(3), I hereby attest that all signatories hereto concur in this filing.

Dated: March 30, 2020

HOPKINS & CARLEY
A Law Corporation

By: /s/ Jeffrey M. Ratinoff

Jeffrey M. Ratinoff
Attorneys for Plaintiff
NEO4J, INC.